

Recall: We showed that

the countable union of countable sets is countable.

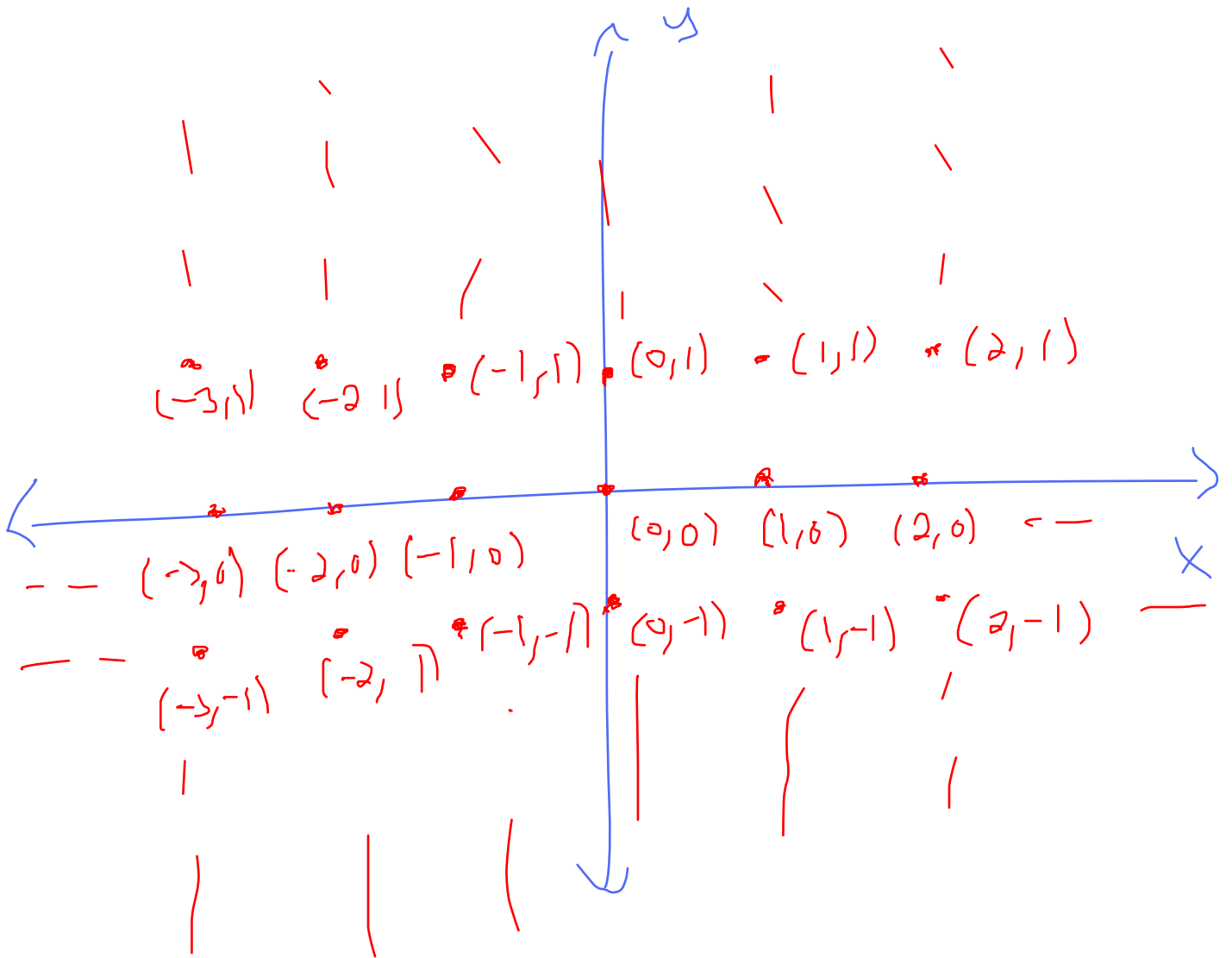
Actually, we showed the disjoint union of countable sets is countable. We also showed every subset of a countable set is countable.

Theorem:  $\mathbb{Q}$  is countable

Before the proof

The picture: I identify  $\mathbb{Q}$  with a subset of the integer lattice in  $\mathbb{R}^2$

Integer lattice = all points  $(m, n) \in \mathbb{R}^2$  such that  $m, n \in \mathbb{Z}$



For each fixed  $n \in \mathbb{Z}$ ,

$\{(n, m) : m \in \mathbb{Z}\}$  has countable cardinality.

Furthermore, for a fixed

$k \in \mathbb{Z}$ , if  $k \neq n$ ,

then

$$\{(n, m) : m \in \mathbb{Z}\} \cap \{(k, m) : m \in \mathbb{Z}\} \\ = \emptyset.$$

The integer lattice  $\mathbb{Z}^2$

is then expressible as the countable union of disjoint sets,

$$\mathbb{Z}^2 = \bigcup_{n \in \mathbb{Z}} \{(n, m) : m \in \mathbb{Z}\}.$$

We then have  $\mathbb{Z}^2$  expressed as the countable union of countable sets, and so  $\mathbb{Z}^2$  is countable.

Proof:

We define

$$\varphi: \mathbb{Q} \rightarrow \mathbb{Z}^2,$$

$$\varphi(0) = (0, 0) \text{ and}$$

if  $n, m \in \mathbb{Z}$ ,  $\frac{n}{m}$  is in lowest terms, define

$$\varphi\left(\frac{n}{m}\right) = (n, m)$$

Let's show  $\varphi$  is injective.

$$\text{Suppose } \varphi\left(\frac{n}{m}\right) = \varphi\left(\frac{l}{k}\right)$$

for some  $n, m, l, k \in \mathbb{Z}$ ,

$$m, k \neq 0.$$

If either  $\varphi\left(\frac{n}{m}\right)$  or  $\varphi\left(\frac{l}{k}\right)$

is  $(0,0)$ , then either  $n$

is zero or  $l$  is zero

(respectively), which then

forces the other to be zero,

$$\text{so } \frac{n}{m} = \frac{l}{k} = 0.$$

Now suppose

$$\varphi\left(\frac{n}{m}\right) = \varphi\left(\frac{i}{k}\right) \neq (0,0)$$

for  $n, m, i, k \in \mathbb{Z}$ ,  $m, k \neq 0$ .

$$\text{Then } (n, m) = \varphi\left(\frac{n}{m}\right) = \varphi\left(\frac{i}{k}\right) = (i, k)$$

$$\Rightarrow n = i, m = k, \text{ so}$$

$$\frac{n}{m} = \frac{i}{k} \quad \left( \text{we need the lowest terms assumption here} \right)$$

So  $\varphi$  is injective, hence  $\mathbb{Q}$  is in bijective correspondence with a subset of a countable set, hence,  $\mathbb{Q}$  is countable.  $\square$

Lemma<sup>t</sup>:  $(0,1)$  is not countable,

proof: Cantor diagonalization;  
by contradiction.

We suppose that  $\exists$  a bijection

$$\varphi: \mathbb{N} \rightarrow (0,1).$$

Each number  $x \in (0,1)$  has  
a base-10 decimal expansion

We can assume that all  
expansions are infinite, using  
the following:



Fact:  $1 = .\overline{9}$

Why? Well,  $\frac{1}{3} = .\overline{3}$

$$1 = \frac{1}{3} + \frac{1}{3} + \frac{1}{3} = .\overline{3} + .\overline{3} + \overline{3}$$
$$= .\overline{9}$$

---

If  $x \in (0, 1)$  has a finite  
base 10 expansion,

$$x = .x_1 x_2 \dots x_n \quad (x_i \in \{0, 1, \dots, 9\})$$

write

$$x = .x_1 x_2 \dots (x_n - 1) \overline{9}$$

write

$$\varphi(1) = \cdot \boxed{x_{1,1}} x_{1,2} x_{1,3} \dots$$

$$\varphi(2) = \cdot x_{2,1} \boxed{x_{2,2}} x_{2,3} \dots$$

$$\varphi(3) = \cdot x_{3,1} x_{3,2} \boxed{x_{3,3}} \dots$$

$$x_{i,j} \in \{0, 1, 2, \dots, 9\}$$

$$\forall 1 \leq i, j < \infty$$

Define  $y \in (0, 1)$  by

$$y = \cdot y_1 y_2 y_3 y_4 \dots$$

where

$$y_i = \begin{cases} x_{i,i} + 1 & \text{if } x_{i,i} \neq 9 \\ 0 & \text{if } x_{i,i} = 9 \end{cases}$$

Then  $y_1 \neq x_{1,1}$ , so  $y \neq \varphi(1)$ ,

$y_2 \neq x_{2,2}$ , so  $y \neq \varphi(2)$ ,

$y_3 \neq x_{3,3}$ , so  $y \neq \varphi(3)$

and generally,  $y_n \neq x_{n,n}$ , so

$y \neq \varphi(n)$  for any  $n \in \mathbb{N}$ .

This is a contradiction since

$y \in (0,1)$  and we assumed  $\varphi$

was bijective. Therefore,

$(0,1)$  is not countable.  $\square$

Natural Question: We know

the cardinality of  $(0,1)$  is  
"greater than" the cardinality  
of  $\mathbb{N}$ . Is there a set

whose cardinality is  
strictly "in between" these  
two cardinalities? The existence  
of such a set is called the

Continuum Hypothesis.

In fact, Gödel proved that the existence of such a set is consistent with the usual axioms of set theory (+ axiom of choice).

Cohen proved that the non-existence of such a set is consistent with the usual axioms!

So The continuum hypothesis can't be proved or disproved using the usual axioms!